In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 50-2215.

CONTINGENT EXTENSION REQUEST

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. § 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. § 1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 50-2215.

AMENDMENTS

In the Specification:

Please delete the following paragraph(s)/section(s)/abstract and replace such paragraph(s)/section(s)/abstract pursuant to 37 C.F.R. § 1.121(b)(1)(ii) with the "clean" version set forth below. Entry is respectfully requested. A version with markings to show the changes made pursuant to 37 C.F.R. § 1.121(b)(1)(iii) is attached hereto as Appendix A.

Please amend claims 7, 9, 10 and 15 and add new claims 16-19 pursuant to 37 C.F.R. § 1.121(c)(1)(i) as set forth in the "clean" version set forth below. Entry is respectfully requested. A version with markings to show the changes made pursuant to 37 C.F.R. § 1.121(c)(1)(ii) is attached hereto as Appendix A.

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___ If checked, the optional complete set of "clean" claims pursuant to 37 C.F.R. § 1.121(c)(3) is attached hereto as Appendix B.

SPECIFICATION:

Paragraph on page 7, line 19 to page 7, line 24:

The computer trading system of Figure 1 comprises a plurality of trading agents 10 each connected to at least one of a plurality of broker nodes 12. Each trading agent is the means by which the trader terminals access the trading system with a given trader terminal being attached to one or more trading agents.

Paragraph on page 8, line 1 to line 17:

Traders are typically grouped as part of a financial institution, such as a bank, which arranges traders as part of a trading floor. A trading floor is a group of traders under common control of a trading floor administrator who allocates credit lines for the trading floor against other trading floors. The market view for a trader, or group of traders, is the market information (price, volume, etc.) that the traders can see that reflect the market. The market views are preferably pre-screened for credit compatibility, as described in WO93/15467. Thus, traders only see displayed quotes with which they can trade. As well as extending credit to a trading floor, credit may be extended to a bank as a whole (many banks have several trading floors in different locations), or to an individual trader or group of traders. Credit may be extended from the bank as a whole, from a trading floor or from an individual trader.

Paragraph on page 20, line 6 to line 20:

Once a trader double clicks a deal or hits a More key, a More screen is displayed at his terminal. This screen will ask the trader for the identity of the bank with which he wants to deal further, the actual trader within the bank with whom he wants to deal, and the amount of the further deal. An example of a More screen is shown in figure 10. As the trader's terminal already has the bank and trader details these can be entered into the screen automatically from the selected deal once the More key is hit or the deal double clicked. The trader then sends the More request by, for example, hitting a submit key on the keypad. This may be the quote submit key used for submitting conventional quotes to the system. It will be appreciated that the More display screen is one example of a means for offering to the counterparty a trade at the same price as the executed deal.

Paragraph on page 21, line 4 to line 16

Credit for the More deal is dealt with outside the conventional credit limits of the system. If a trader were to try and trade an amount over his normal credit limit with the counterparty, the deal would be rejected under the credit checking procedures. In conventional systems, the counterparty credit limits can be varied during the day but usually only by a trading floor administrator (TFA). In the More routine the individual trader assumes the functionality of the TFA for the purposes of the proposed More deal only. It is left to the trader's bank to authorise the trader to conduct More quantity trades and accessibility to the More screen may be controlled, for example by the TFA.

CLAIMS:

(Amended)   7.   An anonymous trading system according to claim 1, wherein each trader terminal includes a keypad and the means for offering a further trade includes a key on the keypad.